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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/042,883	01/08/2002	G. William Walster	SUN-P6432-SPL

CONFIRMATION NO. 5601

FORMALITIES LETTER



OC000000007430054

22835
PARK, VAUGHAN & FLEMING LLP
508 SECOND STREET
SUITE 201
DAVIS, CA 95616

Date Mailed: 02/06/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **7A, 7B, 7C, & 7D** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing

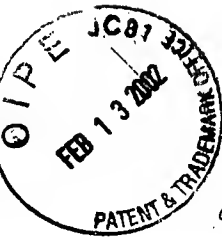
date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*

Haimanot Tegbaru
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



CERTIFICATE OF MAILING

I hereby certify that this package, with sufficient postage, is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service on the date indicated above, in accordance with 37 CFR §1.10, and is addressed to: Assistant Commissioner for Patents, Initial Patent Examination Division, D.C. 20231, on February 13, 2002, with Express Mail Label No.: EK887108291US

(Typed or Printed Name of Person Mailing Paper or Fee)

Wendy Dial

(Typed or Printed Name of Person Mailing Paper or Fee)

(Signature of Person Mailing Paper or Fee)

PATENT APPLICATION
Attorney Docket No. SUN-P6432-SPL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF)

G. William Walster et al.)

Serial No. 10/042,883)

Filing Date: January 8, 2002)

For: METHOD AND APPARATUS FOR SOLVING)
AN INEQUALITY CONSTRAINED GLOBAL)
OPTIMIZATION PROBLEM)

Examiner: Unassigned

Group Art Unit: 2121

Transmittal for Corrected Application Papers

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:


In connection with the above-referenced U. S. patent application, transmitted herewith are the following papers:

- [x] Petition to Grant Filing Date;
- [x] A copy of the Notice to File Corrected Application Papers received from the USPTO, mailed on February 6, 2002;
- [x] 8 sheets of Formal Drawings;
- [x] Declaration in support of Petition;
- [x] A copy of the postcard returned by the USPTO; and
- [x] A petition fee of \$130.00.

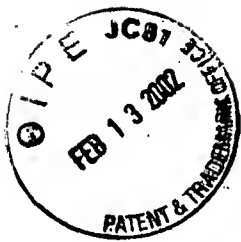
[x] Please deduct any underpayments, credit any overpayments, and charge all required extension of time fees to Deposit Account Number 50-1003. (Docket No. SUN-P6432-SPL).

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Respectfully submitted,

By 
A. Richard Park
Registration No. 41,241

Date: February 13, 2002



Patent Application for:

METHOD AND APPARATUS FOR SOLVING AN INEQUALITY CONSTRAINED GLOBAL OPTIMIZATION PROBLEM

Inventor(s): Walster et al.

Please stamp and confirm receipt in the U.S. Patent Office of the following:

JC979 U.S. PTO

10/042883



01/08/02

RECEIVED

- ☒ Specification and Abstract: 28 pages
- ☒ Claims: 17 pages
- ☒ Drawings: 8 pages
- ☒ Power of Attorney/Declaration of Inventor(s)
- ☒ Assignment and PTO-Form 1595
- ☐ Power of Attorney by Assignee
- ☐ Small Entity Declaration
- ☒ Filing Fee Amount: Check for \$ 1338.00
- ☒ Express Mail Certificate No. EL 917901516 US
- ☒ Authorization to Charge for underpayment or credit for overpayment Deposit
- Account No. 50-1003
- ☒ Other Postcard

Attorney Docket No.: SUN-P6432-SPL
Date Mailed: January 8, 2002

Attorney: A. Richard Park